

SunWise® Essential Series 2
Purchase/Pre-Authorized Chequing Plan (PAC) Order Ticket
 (Use this form for subsequent Deposits or to establish a PAC
 for Investment Class and Estate Class units only)

1. Contract Details

Contract Number _____ Distributor's Account Number _____

2. Owner Information

Owner's Name (last, first, middle) _____

Joint Owner's Name (last, first, middle) _____

3. Subsequent Deposit or PAC Instructions

Note: Subsequent deposits or PAC deposits into Deferred Sales Charge (DSC) units are no longer permitted.

| CLASS | CONTRACT GUARANTEE | DEATH GUARANTEE |
|------------|--------------------|-----------------|
| ESTATE | 75% | 100% |
| INVESTMENT | 75% | 75% |

The Third Party Determination on the CI AML form or form 4830 or 4831 for Sun Life Advisors is **mandatory, on Non-Registered Plans**, where a third party is involved with this Contract, or if a third party will pay for this Contract or have the use of, or access to, the Contract value.

Cheque in the amount of \$ _____

Transfer \$ _____ from another financial institution _____ (T2033/T2151/TD2 attached)

Transfer \$ _____ from an existing CI account _____

| FUND CODE | INITIAL SALES CHARGE (IF APPLICABLE) | GROSS AMOUNT \$ OR % | | PAC AMOUNT \$ OR % | | WIRE ORDER NO. | FUND CODE | INITIAL SALES CHARGE (IF APPLICABLE) | GROSS AMOUNT \$ OR % | | PAC AMOUNT \$ OR % | | WIRE ORDER NO. |
|-----------|--------------------------------------|----------------------|---|--------------------|---|----------------|-----------|--------------------------------------|----------------------|---|--------------------|---|----------------|
| | | \$ | % | \$ | % | | | | \$ | % | \$ | % | |
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4. Pre-Authorized Chequing (PAC) Plan

Please ensure to also complete the Banking Information in the section below.

PAC amount \$ _____ (minimum requirement of \$50 a month per fund, \$5000 for PIM)

Payment Frequency

- Weekly Bi-weekly Monthly Bi-monthly
- Quarterly Semi-Annually Annually

4. Pre-Authorized Chequing (PAC) Plan (Continued)

Payment Start Date

Date (MM/DD/YYYY)

Signature(s)

Date (MM/DD/YYYY)

Signature required if Depositor(s) is (are) other than the Owner(s) of this Plan. For joint bank accounts, all Depositors must sign if more than one signature is required on cheques issued against the account. By signing, you confirm the banking information provided below and that you have read and agree to the PAC terms and conditions outlined on the next page of this form.

5. Banking Information

If completing this section the Owner(s) signature is mandatory in the Owner Authorization section of this form.

Attach a void cheque or pre-authorized deposit form from the bank.

Note: Please ensure the void cheque or bank form is printed with the client's name on it.

Bank Account Owner(s) Name(s)

Bank Name

Bank Number

Bank Transit Number

Bank Account Number

6. Owner Authorization

A client signature is mandatory when establishing a new PAC.

I/We hereby authorize CI Investments Inc. to buy units in accordance with the instructions set out on this form. By signing you confirm that you have read and agreed to the following terms at the end of this form:

- CI Investments Inc.'s Privacy Policy and Sun Life's Privacy Statement
- for Pre-Authorized Chequing (PAC) Plans, the PAC Terms and Conditions.

Owner's Signature

Date (MM/DD/YYYY)

Joint Owner's Signature

Date (MM/DD/YYYY)

Authorized Representative's Signature (as per Limited Trading Authorization if applicable)¹

¹Limited Trading Authorization dated September 2010 or later (Not applicable for Sun Life Advisors)

7. Representative Authorization

To be completed by the representative.

Your signature below confirms you:

- have received instructions from your client and direct us to act on the transaction requested and that you have disclosed the possible effects of the trade to the client.
- understand where a client disputes a transaction, all market risk or cost of a trade reversal will be the responsibility of the representative where client signature has not been obtained.

Representative's Name

Dealer and Representative Number

Representative's Signature

Date (MM/DD/YYYY)

Pre-Authorized Chequing Plans (PAC) Terms and Conditions

- By signing this form, you hereby waive any pre-notification requirements as specified by section 15(a) and (b) of the Canadian Payments Association (CPA) Rule H1 with regards to PACs.
- If you have indicated on the form that you want to make regular deposits using a PAC, you authorize CI Investments Inc. (CI) on behalf of Sun Life to debit the bank account provided for the specified amount(s) and in the frequencies selected.
- If this is for your own personal investment, your debit will be considered a Personal Pre-authorized debit agreement (PAD) by Canadian Payments Association definition. If this is for business purposes, it will be considered a Business PAD. Monies transferred between CPA members will be considered a Funds Transfer PAD.
- You have certain recourse rights if any debit does not comply with this agreement. For example, you have the right to receive reimbursement for any debit that is not authorized or is not consistent with this PAC agreement. To obtain more information on your recourse rights, you may contact your financial institution, CI or visit www.payments.ca.
- You may change these instructions or cancel this plan at any time, subject to providing CI notice of at least 48 hours prior to the next PAC run date. To obtain a sample cancellation form, or for more information on your right to cancel a PAC agreement, you may contact your financial institution, CI or visit the Canadian Payments Association website at www.payments.ca. You agree to release the financial institution and CI of all liability if the revocation is not respected, except in the case of gross negligence by the financial institution or CI.
- CI is authorized to accept changes to this agreement from your registered dealer or your financial advisor in accordance with the policies of that company, in accordance with the disclosure and authorization requirements of the CPA.
- You agree that the information in this form will be shared with the financial institution, insofar as the disclosure of this information is directly related to and necessary for the proper application of the rules applicable for PACs.
- You acknowledge and agree that you are fully liable for any charges incurred if the debits cannot be made due to insufficient funds or any other reason for which you may be held accountable.
- You confirm that all persons whose signatures are required to authorize transactions in the bank account provided have read and agreed to these terms and signed this application. CI Global Asset Management is a registered business name of CI Investments Inc.

USE OF PERSONAL INFORMATION NOTICE

CI INVESTMENTS INC. PRIVACY POLICY

We are committed to respecting and protecting the privacy and confidentiality of the information you have entrusted with us. This Privacy Notice outlines how we collect, use, disclose, store and safeguard your personal information.

WHAT INFORMATION DO WE COLLECT?

We collect information required to establish and service your accounts in compliance with federal and provincial laws as well as our self-regulatory organization requirements. We maintain audio recordings of in-coming and out-going telephone calls. You may access our full Privacy Policy Notice online at <https://ci.com/en/legal/privacy>. If you chose to interact with us online via our web portal or through e-mail we will monitor and record your usage information (please see our Online and Mobile Privacy Policy at <https://ci.com/en/legal/privacy#Online-and-Mobile-Privacy-Policy> for additional details).

HOW DO WE COLLECT INFORMATION?

We collect information directly from you or from your authorized representative(s), such as your financial advisor or their dealership. Depending on how you choose to do business with us this information may be collected on applications, forms, over the phone, in person, through the internet, through your mobile device or through other forms of communication.

HOW DO WE USE THE PERSONAL INFORMATION WE COLLECT?

Regardless of how you choose to do business with us, we may use your information to:

I. Provide and manage products and services you have requested, including;

- Open and operate your account,
- Verify your identity,
- Execute your transactions,

- Record and report account status back to you,
- Provide personalized service and support, and
- Respond to any request or questions you may have.

II. Understand our customers and to develop and tailor our products and services by performing data analytics to:

- Determine suitability of products and services for you,
- Determine your eligibility for certain of our products and services, or products or services of others,
- Communicate with you about products and services that may be of interest,
- Provide you with quality individualized client service and support, and
- Market and advertise to clients and prospective clients.

III. Legal and Regulatory Obligations

- Provide all required tax reporting,
- Comply with legal, regulatory, and contractual requirements, or as otherwise permitted by law,
- Fulfill obligations under federal anti-money laundering and suppression of terrorism legislation,
- Meet obligations as a member of various self-regulatory organizations,
- Protect our interests, including recovering any debts you may owe us, and
- Protect against fraud and other crime and to manage risk, including conducting investigations and proactive crime prevention measures.

DISCLOSURE OF YOUR PERSONAL INFORMATION

Employees or authorized representatives of CI Investments Inc. ("CI") who will be responsible for functions relevant to the purposes identified above, and other persons authorized by you or by law, will have access to the personal

USE OF PERSONAL INFORMATION NOTICE continued

information contained in your file. We may share your personal information with CI Financial company affiliates, such as Assante Wealth Management (Canada) Ltd. ("AWM"), CI Private Counsel LP, ("CIPC"), BBS Securities Inc. ("BBS"), and WealthBar Financial Services Inc. ("WealthBar") and their subsidiaries to administer and service your account.

We may provide your information to third parties, including:

- Third party service providers for servicing purposes – We do not authorize them to use or disclose the personal information for their own marketing or other purposes. They may be located in Canada or other jurisdictions or countries and may disclose information in response to valid demands or requests from governments, regulators, courts and law enforcement authorities in those jurisdictions or countries in accordance with the applicable law in that jurisdiction or country.
- To governments, government agencies, regulators, including self-regulators, when required or permitted to do so by law, including in response to a search warrant, court order, or other demand or inquiry which we believe to be valid.
- To your financial advisor, their dealership, legal representatives and/or with other third parties at your direction.
- To financial institutions, securities dealers and mutual fund companies.
- To protect our interests, we may disclose information to any person or organization, including an investigative body, in order to prevent, detect or suppress, financial abuse, fraud, criminal activity, protect our assets and interests, or manage or settle any actual or potential loss or in the case of a breach of agreement or contravention of law.
- We may also disclose information to help us collect a debt owed to us.
- In the event of a transfer of a business, we may buy or sell a business (or evaluate those transactions) which would result in certain personal information forming business assets that would be purchased or sold as part of a transfer.
- We may transfer personal information as part of a corporate reorganization or other change in corporate control.
- In other situations where we have your consent, for instance, sharing your information with a joint account holder.

We do not sell or rent client lists or personal information to third parties.

PROTECTING INFORMATION

We maintain appropriate physical, electronic, technological, procedural, and organizational safeguards to protect against unauthorized access, disclosure, copying, use or modification, theft, misuse, or loss of your personal information in our custody or control. These safeguards are appropriate to the sensitivity of the information.

ACCESSING OR CORRECTING INFORMATION

We are committed to being transparent and providing you with choices about how your information is used. You may inform us of your preferences by registering for our client web portal [Investor Online] online at www.ci.com and accessing the Privacy Preferences page. If you are unable to register online, you may also contact our client services via phone at 1-800-792-9355 or by email to service@ci.com.

To correct or access your information we encourage you to access our Client Services department, Online web portal or your periodic statements. However, you do have the right, subject to certain limitations, to formally request to review or verify your personal information, or to find out to whom we have disclosed it. To make a formal request for access, send a written request addressed to the Privacy Officer, 15 York Street, Toronto, ON, M5J 0A3. Please include your full name, address, telephone number, and account number(s) on

all correspondence to us and provide enough detail to allow us to identify the information you want.

If you have any questions or concerns about our privacy practices, the privacy of your personal information, or you want to change your privacy preferences, please let us know by contacting your financial advisor or contacting our Client Services Department. We are committed to helping resolve your questions or concerns.

SUN LIFE PRIVACY STATEMENT

RESPECTING YOUR PRIVACY

Our Purpose is to help our Clients achieve lifetime financial security and live healthier lives. We collect, use and disclose your personal information to: develop and deliver the right products and services; enhance your experience and manage our business operations; perform underwriting, administration and claims adjudication; protect against fraud, errors or misrepresentations; tell you about other products and services; and meet legal and security obligations. We collect it directly from you, when you use our products and services, and from other sources. We keep your information confidential and only as long as needed. People who may access it include our employees, distribution partners such as advisors, service providers, reinsurers, or anyone else you authorize. At times, unless we're prohibited, they may be outside your jurisdiction and your information may be subject to local laws. You can always ask for your information and to correct it if needed. In most cases, you have a right to withdraw your consent, but we may not be able to provide the requested product or service. Read our Global Privacy Statement and local policy at www.sunlife.ca/privacy or call us for a copy.