

Registered Transfer Form

1. Transfer Type (Please choose only one)

Please note that not all transfer scenarios are available for all products. Please consult the appropriate information Folder for valid contract types. If you have any questions please contact CI Client Services at 1-800-563-5181.

Current Contract Number _____

Transfer RSP Contract to a RIF Contract

Transfer LIRA Contract to an LRIF Contract

Transfer LIF/LRIF Contract to a LIRA Contract

Transfer LIRA Contract to a LIF Contract

Transfer RIF Contract to an RSP Contract

Transfer Spousal RSP Contract to a Spousal RIF Contract

Transfer LIRA Contract to a PRIF Contract

Transfer LIF Contract to an RLIF Contract

Transfer Spousal RIF Contract to a Spousal RSP Contract

Transfer RLSP Contract to a RLIF Contract

Transfer RLIF Contract to an RLSP Contract

2. Owner Information (Mandatory)

Mr. Mrs. Miss Ms. Dr.

Last Name _____

First Name _____

Date of Birth
(YYYY/MM/DD) _____

Social Insurance Number _____

3. Distributor Information

Distribution Number (Mandatory) _____

Representation Number (Mandatory) _____

Distribution Name (Mandatory) _____

Representative Name _____

X
Representative Signature (Mandatory) _____

4. Complete for Transfer from RSP to RIF or LIRA to LIF/LRIF/PRIF or RLSP to RLIF

a. Plan Payment Details (The payment date may be between the 1st and 25th of any month)

Please accept this as my authorization to surrender sufficient units to provide the following payment (please choose only one):

The minimum annual payment (MAP) requirement (Payment will begin in the first full calendar year following the initial investment)

The maximum annual gross amount (for LIF/LRIF/RLIF Plans only)*

The Annual Guaranteed Withdrawal Amount (GWA) / Lifetime Withdrawal Amount (LWA) – for SunWise Elite Plus Contracts only*

An annual amount of \$ _____ Gross OR Net of fees and withholding taxes**

*To make a change to the GMWB payment option (GWA to LWA or LWA to GWA) for your existing SunWise Elite Plus Contract, please complete the SunWise Elite Plus GMWB Payment Option Change and Payment Direction Form.

Payment Start Date (YYYY/MM/DD) _____ Frequency: Monthly Quarterly Semi-Annually Annually

**Withdrawals in excess of the annual (GWA/LWA) may have a negative impact on future guaranteed payments under the Guaranteed Minimum Withdrawal Benefit.

(If no date or payment amount is specified, CI will pay out the RIF/LIF/LRIF/PRIF/RLIF MAP during the month of December and will redeem units proportionately across all Funds.)

I elect the term of RRIF payments be based on: My age OR Age of my spouse _____

YYYY/MM/DD

(CI will default to the "My Age" option if not completed)

Fund Name	Fund Code	Surrender Amount	or	Percent
		\$		%
		\$		%
		\$		%
		\$		%

4. Complete for Transfer from RSP to RIF or LIRA to LIF/LRIF/PRIF or RLSP to RLIF (Continued)

b. Banking Information

Please attach a void cheque here. Ensure that the void cheque or bank form is printed with the Client's name on it.

Bank Account Owner(s) Name(s) _____ Name of Financial Institution _____

Bank Number _____ Bank Transit Number _____ Bank Account Number _____

Address _____

c. LIF/LRIF Information

SPOUSE: Do you have a spouse or pension partner within the meaning of the applicable pension legislation? Yes No

Note: If you have a spouse or pension partner within the meaning of the applicable legislation, then the appropriate spousal consent/waiver form must be fully completed and accompany this conversion form.

The spousal consent/waiver forms are also available on CI Advisor Online.

5. Investment Section

Only complete for partial transfers or if investments in the new contract are different than current contract.

Premium Amount \$ OR % (please check one)	From: Fund Code (Current Contract)	To: Fund Code (New Contract)
\$ %		
\$ %		
\$ %		
\$ %		

6. Request for Registration and Declaration of Owner/Annuitant (Complete for all transfer types)

I confirm that all other terms and conditions of the Contract will remain the same, including the beneficiary designation. I request that Sun Life Assurance Company of Canada convert the Contract to, and register the Contract as a Registered Retirement Savings Plan (RSP), a Retirement Income Fund (RIF), Life Income Fund (LIF), Restricted Life Income Fund (RLIF), Restricted Locked-in Savings Plan (RLSP), Locked-in Retirement Fund (LRIF) or Prescribed Retirement Income Fund (PRIF), as applicable, under the provisions of the Income Tax Act (Canada) and, if applicable, under any provincial pension legislation. I understand the Contract will be subject to the provisions of said legislation. I declare that I am the owner of the Contract. I understand that as a consequence of registering the Contract as a RIF or a LIF, the Contract provides that an income will become payable there under, commencing not later than the last day of the first calendar year following the calendar year in which the conversion to a RIF or LIF, as applicable, becomes effective.

_____ Date (YYYY/MM/DD) (Mandatory)

Signature of Owner (Mandatory)

_____ _____

Signature of Spouse (Required for Locked-In Plans) (Mandatory) Signature of Irrevocable Beneficiary (Mandatory if applicable)

USE OF PERSONAL INFORMATION NOTICE

CI INVESTMENTS INC.'S PRIVACY POLICY

We are committed to respecting and protecting the privacy and confidentiality of the information you have entrusted with us. This Privacy Notice outlines how we collect, use, disclose, store and safeguard your personal information.

WHAT INFORMATION DO WE COLLECT?

We collect information required to establish and service your accounts in compliance with federal and provincial laws as well as our self-regulatory organization requirements. We maintain audio recordings of in-coming and out-going telephone calls. You may access our full Privacy Policy Notice online at <https://ci.com/en/legal/privacy>. If you chose to interact with us online via our web portal or through e-mail we will monitor and record your usage information (please see our Online and Mobile Privacy Policy at <https://ci.com/en/legal/privacy#Online-and-Mobile-Privacy-Policy> for additional details).

HOW DO WE COLLECT INFORMATION?

We collect information directly from you or from your authorized representative(s), such as your financial advisor or their dealership. Depending on how you choose to do business with us this information may be collected on applications, forms, over the phone, in person, through the internet, through your mobile device or through other forms of communication.

HOW DO WE USE THE PERSONAL INFORMATION WE COLLECT?

Regardless of how you choose to do business with us, we may use your information to:

- I. Provide and manage products and services you have requested, including:
 - Open and operate your account,
 - Verify your identity,
 - Execute your transactions,
 - Record and report account status back to you,
 - Provide personalized service and support, and
 - Respond to any request or questions you may have.
- II. Understand our customers and to develop and tailor our products and services by performing data analytics to:
 - Determine suitability of products and services for you,
 - Determine your eligibility for certain of our products and services, or products or services of others,
 - Communicate with you about products and services that may be of interest,
 - Provide you with quality individualized client service and support, and
 - Market and advertise to clients and prospective clients.
- III. Legal and Regulatory Obligations
 - Provide all required tax reporting,
 - Comply with legal, regulatory, and contractual requirements, or as otherwise permitted by law,
 - Fulfill obligations under federal anti-money laundering and suppression of terrorism legislation,
 - Meet obligations as a member of various self-regulatory organizations,
 - Protect our interests, including recovering any debts you may owe us, and
 - Protect against fraud and other crime and to manage risk, including conducting investigations and proactive crime prevention measures.

DISCLOSURE OF YOUR PERSONAL INFORMATION

Employees or authorized representatives of CI Investments Inc. ("CI") who will be responsible for functions relevant to the purposes identified above, and other persons authorized by you or by law, will have access to the personal information contained in your file. We may share your personal information with CI Financial company affiliates, such as Assante Wealth Management (Canada) Ltd. ("AWM"), CI Private Counsel LP, ("CIPC"), BBS Securities Inc. ("BBS"), and WealthBar Financial Services Inc. ("WealthBar") and their subsidiaries to administer and service your account.

We may provide your information to third parties, including:

- Third party service providers for servicing purposes – We do not authorize them to use or disclose the personal information for their own marketing or other purposes. They may be located in Canada or other jurisdictions or countries and may disclose information in response to valid demands or requests from governments, regulators, courts and law enforcement authorities in those jurisdictions or countries in accordance with the applicable law in that jurisdiction or country.

- To governments, government agencies, regulators, including self-regulators, when required or permitted to do so by law, including in response to a search warrant, court order, or other demand or inquiry which we believe to be valid.
- To your financial advisor, their dealership, legal representatives and/or with other third parties at your direction.
- To financial institutions, securities dealers and mutual fund companies.
- To protect our interests, we may disclose information to any person or organization, including an investigative body, in order to prevent, detect or suppress, financial abuse, fraud, criminal activity, protect our assets and interests, or manage or settle any actual or potential loss or in the case of a breach of agreement or contravention of law.
- We may also disclose information to help us collect a debt owed to us.
- In the event of a transfer of a business, we may buy or sell a business (or evaluate those transactions) which would result in certain personal information forming business assets that would be purchased or sold as part of a transfer.
- We may transfer personal information as part of a corporate reorganization or other change in corporate control.
- In other situations where we have your consent, for instance, sharing your information with a joint account holder.

We do not sell or rent client lists or personal information to third parties.

PROTECTING INFORMATION

We maintain appropriate physical, electronic, technological, procedural, and organizational safeguards to protect against unauthorized access, disclosure, copying, use or modification, theft, misuse, or loss of your personal information in our custody or control. These safeguards are appropriate to the sensitivity of the information.

ACCESSING OR CORRECTING INFORMATION

We are committed to being transparent and providing you with choices about how your information is used. You may inform us of your preferences by registering for our client web portal [Investor Online] online at www.ci.com and accessing the Privacy Preferences page. If you are unable to register online, you may also contact our client services via phone at 1-800-792-9355 or by e-mail to service@ci.com.

To correct or access your information we encourage you to access our Client Services department, Online web portal or your periodic statements. However, you do have the right, subject to certain limitations, to formally request to review or verify your personal information, or to find out to whom we have disclosed it. To make a formal request for access, send a written request addressed to the Privacy Officer, 2 Queen Street East, 19th Floor, Toronto, ON, M5C 3G7. Please include your full name, address, telephone number, and account number(s) on all correspondence to us and provide enough detail to allow us to identify the information you want.

If you have any questions or concerns about our privacy practices, the privacy of your personal information, or you want to change your privacy preferences, please let us know by contacting your financial advisor or contacting our Client Services Department. We are committed to helping resolve your questions or concerns.

SUN LIFE PRIVACY STATEMENT

RESPECTING YOUR PRIVACY

Our Purpose is to help our Clients achieve lifetime financial security and live healthier lives. We collect, use and disclose your personal information to: develop and deliver the right products and services; enhance your experience and manage our business operations; perform underwriting, administration and claims adjudication; protect against fraud, errors or misrepresentations; tell you about other products and services; and meet legal and security obligations. We collect it directly from you, when you use our products and services, and from other sources. We keep your information confidential and only as long as needed. People who may access it include our employees, distribution partners such as advisors, service providers, reinsurers, or anyone else you authorize. At times, unless we're prohibited, they may be outside your jurisdiction and your information may be subject to local laws. You can always ask for your information and to correct it if needed. In most cases, you have a right to withdraw your consent, but we may not be able to provide the requested product or service. Read our Global Privacy Statement and local policy at www.sunlife.ca/privacy or call us for a copy.